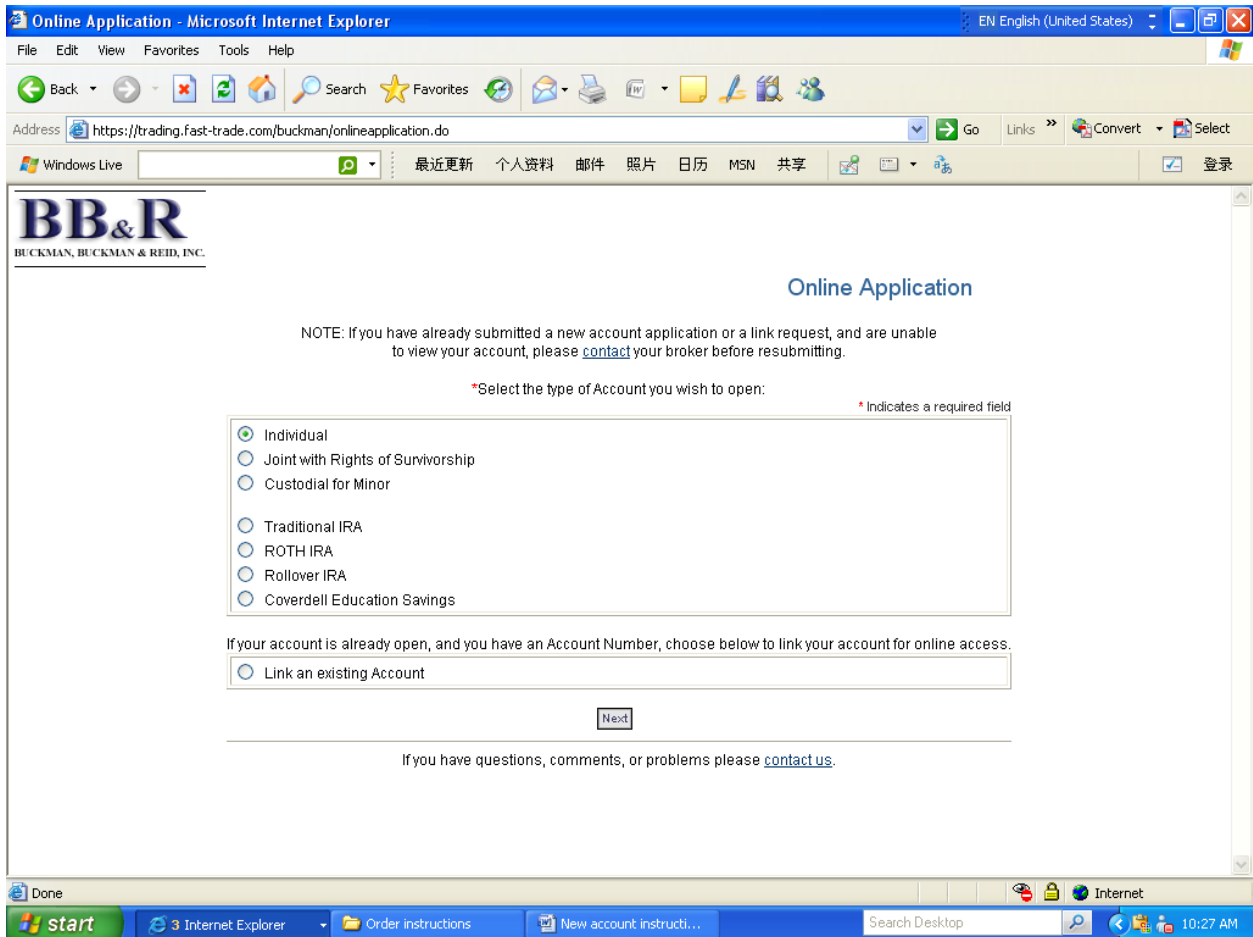


New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

The New Account Instruction Book will explain to you every step of the Online Application, and will also post a whole series of sample pages for your reference.

- Please go to <http://www.buckmanonline.com/accounts.htm>, click  and follow this instruction book to begin your online application.



Online Application - Microsoft Internet Explorer

EN English (United States)

File Edit View Favorites Tools Help

Back Forward Stop Home Search Favorites Refresh Print Mail New Tab

Address <https://trading.fast-trade.com/buckman/onlineapplication.do> Go Links Convert Select

Windows Live 最近更新 个人资料 邮件 照片 日历 MSN 共享 登录

BB&R
BUCKMAN, BUCKMAN & REID, INC.

Online Application

NOTE: If you have already submitted a new account application or a link request, and are unable to view your account, please [contact](#) your broker before resubmitting.

*Select the type of Account you wish to open: * Indicates a required field

Individual
 Joint with Rights of Survivorship
 Custodial for Minor

Traditional IRA
 ROTH IRA
 Rollover IRA
 Coverdell Education Savings

If your account is already open, and you have an Account Number, choose below to link your account for online access.

Link an existing Account

Next

If you have questions, comments, or problems please [contact us](#).

Done

start 3 Internet Explorer Order instructions New account instructi... Search Desktop 10:27 AM

1. Individual- An account opened in the name of one individual
2. Joint with Rights of Survivorship-In this type of brokerage account, a surviving member will inherit the total value of the other member's share of account asset upon the death of that other member. All members of the account are afforded the power to conduct investment transactions within the account as well.
3. Custodial for Minor-Only available to US citizens
4. Traditional IRA- Only available to US citizens

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

5. Roth IRA- Only available to US citizens
6. Rollover IRA- Only available to US citizens
7. Coverdell Education Savings- Only available to US citizens

Online Application Personal Information - Microsoft Internet Explorer

Address: <https://trading.fast-trade.com/buckman/holder1personalinfo.do>

Joint Account Application

If you wish to start over, please click here: [Start Over](#)

1) PRIMARY OWNER'S PERSONAL INFORMATION

* Indicates a required field

*Title: *Citizenship:

*First Name: MI: *If a Non-Resident, please select your citizenship from this list:

*Last Name: *Day/Mobile Phone (enter number without dashes or spaces):

*Date of Birth (MM/DD/YYYY): Evening Phone (enter number without dashes or spaces):

*E-Mail Address:

Home address is the same as mailing address? Yes No, please provide home address

Mailing Address:
(If entering a PO BOX, please use the following format.
PO BOX 1111 instead of P O BOX 1111)

*Address:

Address 2:

*City:

*State:

*Country:

*Zip / Postal Code:

[Next](#)

1. Title

- a. Mr. – Male
- b. Mrs. – Married Female
- c. Ms. – Divorced/Widow Female
- d. Miss – Single Female/Married Female using her maiden name
- e. Dr. – Doctor

2. First Name: Your Legal first name in English

3. MI: Your Legal middle name (initial only) in English if no middle name leave blank

4. Last Name: Your Legal last name in English

5. Date of Birth: MM/DD/YYYY

6. Email address: Personal or Business email address in English

7. Citizenship: Choose one

- a. US Citizen - A person born in the United States, or naturalized, holding a US passport

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- b. Resident Alien - A person born outside the United States who has legally established temporary or permanent residence in the United States, but has not become a United States citizen
 - c. Non-Resident Alien – A person born outside of the United States, who resides outside of the United States
8. If a Non-Resident of the United States, please select your country of Citizenship.
 9. Daytime Phone:
 10. Evening Phone:
 11. Address: Street name and number in English
 12. Address2: Apartment/Suite number in English
 13. City: City in which you currently live in English
 14. State: State in which you currently live in English if you live outside of the US leave as N/A
 15. Country: Country of Residence
 16. Zip Code: Please enter you postal ZIP code

The screenshot shows a Microsoft Internet Explorer browser window displaying the 'Online Application Employment Information' page for Buckman, Buckman & Reid, Inc. The page is titled 'Joint Account Application' and includes a progress bar with steps: 'Submit Your Information', 'Accept Agreements', 'Review Information', 'View/Print Forms', 'Setup a Login ID', and 'Completed!'. The current step is '2) PRIMARY OWNER'S EMPLOYMENT INFORMATION'. A 'Start Over' button is visible. The form includes a dropdown for 'Employment Status' set to 'Employed'. Below this is a section for 'Current Employer's Information' with the following fields: Company Name (Fudan University), Street Address (220 Han Dan Road), Address 2, City (Shanghai), State (N/A), Country (China), Zip / Postal Code (200433), Phone Number (862128086028), Occupation (Educator), Type of Business (College), and Years Employed (10). Asterisks indicate required fields.

1. Employment Status:

- a. Self Employed

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- b. Retired
- c. Student
- d. Homemaker
- e. Not Employed

2. Company Name: Name of present employer in English
3. Street Address: Street name and number of Employer in English
4. Address2: Apartment/Suite number of Employer in English
5. City: City of your employer in English
6. State: State of your Employer in English if your employed outside of the US leave as N/A
7. Country: in which your employer resides
8. Zip Code: Please enter you postal ZIP code
9. Phone Number: Current employer's telephone number
10. Occupation: Choose one of the below that closest describes your occupation
 - Accountant
 - Actuary
 - Administrative Assistant
 - Advertising Professional
 - Astronaut
 - Athlete
 - Banker
 - Business Owner
 - Broker
 - Computer Engineer
 - Chemist

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- Civil Service
- Clergy
- Certified Public Accountant
- Computer Programmer
- Consultant
- Construction
- Customer Service
- Dentist
- Doctor
- Designer
- Educator
- Engineer
- Entertainer
- Executive
- Financial Profession
- Fire Fighter
- Farmer
- Fund Raiser
- Health Care Provider
- Homemaker
- Human Resources
- Insurance Agent
- Inventor
- Lawyer

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- Mechanic
- Military
- Manager
- Marketing Professional
- Machinist
- Nurse
- Other
- Politician
- Police Officer
- Realtor
- Researcher
- Restaurateur
- Retailer
- Retired
- Scientist
- Social Worker
- Self Employed
- Sales Person
- Student
- Therapist
- Transportation Worker
- Underwriter
- Veterinarian

11. Type of Business-

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

12. Years Employed: Number of years employed in your present capacity

The screenshot shows a web browser window titled "Online Application Financial Information - Microsoft Internet Explorer". The address bar shows the URL: <https://trading.fast-trade.com/buckman/holder1financialinfo.do>. The page header includes the BB&R logo and the text "BUCKMAN, BUCKMAN & REID, INC.". Below the logo is a "Progress Bar" with six steps: "Submit Your Information", "Accept Agreements", "Review Information", "View/Print Forms", "Setup a Login ID", and "Completed!". The current step is "Review Information".

The main heading is "Individual Account Application". Below it, there is a "Start Over" button. The section is titled "3) PRIMARY OWNER'S FINANCIAL INFORMATION". A note indicates that an asterisk (*) denotes a required field.

The form fields are as follows:

- *Primary Source of Income: Employer (dropdown menu)
- *Annual Income: 0-24,999 (dropdown menu)
- *Liquid Assets: 0-24,999 (dropdown menu)
- *Net Worth: 0-24,999 (dropdown menu)
- *Owner Profession: Educator (dropdown menu)

Below these fields is a question: "*Transfer Funds from existing Brokerage Account?" with radio buttons for "No" (selected) and "Yes, please provide your Current Broker's Information about the account from which you are transferring funds:".

The "Bank Reference" section contains the following fields:

- *Bank Name: BANK OF CHINA (text input)
- Account Type (text input)
- Branch: (text input)
- Account Number: (text input)

At the bottom of the form are "Previous" and "Next" buttons.

1. Primary source of Income: Choose one
 - a. Employer
 - b. Investments
 - c. Spouse
 - d. Social Security/Pension
 - e. Other

2. Annual Income: Yearly Salary choose one
 - a. 0-24,999
 - b. 25,000-49,999

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- c. 50,000-74,999
 - d. 75,000-99,999
 - e. 100,000-199,999
 - f. 200,000 +
 - g. REFUSED
3. Liquid Assets: Available Cash Assets choose one
- a. 0-24,999
 - b. 25,000-49,999
 - c. 50,000-74,999
 - d. 75,000-99,999
 - e. 100,000-199,999
 - f. 200,000 +
 - g. REFUSED
4. Net Worth: Total Asset Value choose one
- a. 0-24,999
 - b. 25,000-49,999
 - c. 50,000-74,999
 - d. 75,000-99,999
 - e. 100,000-199,999
 - f. 200,000 +
 - g. REFUSED
5. Transfer Funds from existing Brokerage Account? Please select Yes or No
6. If your answer is NO, please complete BANK REFERENCE * Bank Name
7. If your answer is YES, please complete BROKER'S INFORMATION

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- a. Firm Name
- b. Firm Location

BUCKMAN, BUCKMAN & REID, INC.

Progress Bar

Submit Your Information | Accept Agreements | Review Information | View/Print Forms | Setup a Login ID | Completed!

Joint Account Application

If you wish to start over, please click here: [Start Over](#)

4) PRIMARY OWNER'S AFFILIATION INFORMATION * Indicates a required field

*Are you or a member of your household a director, 10% shareholder or officer of a publicly traded company?

Yes No

*Are you or a member of your household affiliated with or employed by a member of a stock exchange or the NASD?

Yes No

*Have you granted Trading Authorization to another party ?

Yes No

* Rule 14b-1 (c) of the Securities Exchange Act, unless you object, requires us to disclose to an issuer, upon its request, the names, addresses, and securities positions of our customers who are beneficial owners of the issuer's securities held by us in nominee name. The issuer would be permitted to use your name and other related information for corporation communication only. If you object to this disclosure, check the appropriate box.

YES, I do object to the disclosure of such information.

NO, I do not object to the disclosure of such information.

[Previous](#) [Next](#)

1. Are you or a member of your household a director, 10% shareholder or officer of a publicity traded company? Please choose Yes or NO
 - a. If Yes, please complete the Company Name if No skip to number 2
 - b. If Yes, please complete Position. Choose from the following:
 - i. Employee of Firm
 - ii. Relative or Dependent is Employee of Firm
 - iii. Employee or (Relative) of another Broker Dealer
 - iv. Senior Officer of Financial Institution

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- v. Portfolio Manager of Financial Institution
 - vi. Senior Officer of an Insurance Company
 - vii. Member of Board of Directors of a Firm
 - viii. Director or Principal Officer of Firm
 - ix. Relative is Director or Principal Officer of Firm
2. Are you or a member of your household affiliated with or employed by a member of a stock exchange or the NASD? Please choose Yes or NO
- a. If Yes, please complete:
 - i. Affiliated Company Name
 - ii. Position: select one of the following:
 - a. Employee of Firm
 - b. Relative or Dependent is Employee of Firm
 - c. Employee or (Relative) of another Broker Dealer
 - d. Senior Officer of Financial Institution
 - e. Portfolio Manager of Financial Institution
 - f. Senior Officer of Insurance Company
 - g. Member or Board of Directors of a Firm
 - h. Director or Principal Officer of Firm
 - i. Relative is Director or Principal Officer of Firm
 - iii. Relationship
 - iv. Compliance Officer's Name
 - v. Mailing Address
 - vi. City
 - vii. State

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

viii. Country

ix. Zip code

3. Have you granted Trading Authorization to another party? Please choose Yes or NO
4. Rule 14b-1 (c) of the Securities Exchange Act, unless you object, requires us to disclose to an issuer, upon its request, the names, addresses, and securities positions of our customers who are beneficial owners of the issuer's securities held by us in nominee name. The issuer would be permitted to use your name and other related information for corporation communication only. Please check the appropriate box.
 - a. Yes, I do object
 - b. No, I do not object

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

Progress Bar
Submit Your Information | Accept Agreements | Review Information | View/Print Forms | Setup a Login ID | Completed!

Individual Account Application

If you wish to start over, please click here: [Start Over](#)

5) ADDITIONAL ACCOUNT PRIVILEGES *Indicates a required field

*Do you want the ability to trade on margin?
(Remember to sign section on margin trading on the Customer Service Agreement.)

Yes No

*Do you want the ability to trade options?

Yes No

6) INVESTMENT OBJECTIVES

*Select Investment Objectives:

Income

Growth

Speculation

Capital Preservation

1. Do you want the ability to trade on Margin?
 - a. Please select: No – Margin is not available
2. Do you want the ability to trade options?
 - a. Please select: No- Options are not available
3. Investment Objectives:

Select Investment Objectives: Check off your investment objectives for this account.

Example:

- Income
- Growth
- Speculation

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

- Capital Preservation

Online Application Account Agreement - Microsoft Internet Explorer

Address: <https://trading.fast-trade.com/buckman/accountagreement.do>

Progress Bar

Submit Your Information | **Accept Agreements** | Review Information | View/Print Forms | Setup a Login ID | Completed!

Individual Account Application

If you wish to start over, please click here: [Start Over](#)

Terms and Conditions

Please review the agreements carefully and indicate your acceptance below, then click **Next**.

Account Agreement

I (We) would like to open an account with Ridge Clearing & Outsourcing Solutions, Inc. I (We) am (are) of legal age to contract. I (We) acknowledge that I (we) have received, read and agree to be bound by the terms and conditions as set forth in the [Account Agreement](#) or [email us](#) to receive by mail and as amended from time to time. I (WE) ACKNOWLEDGE THAT RIDGE CLEARING & OUTSOURCING SOLUTIONS, INC. DO NOT PROVIDE INVESTMENT, TAX, OR LEGAL ADVICE OR RECOMMENDATIONS. Under penalties of perjury, I (we) certify that the number shown on this form is my correct taxpayer identification number. Unless otherwise indicated, I (we) certify that I (we) am (are) not subject to backup withholding by the Internal Revenue Service. I (We) understand that you will supply my (our) name(s) to issuers of any securities held in my (our) account so that I (we) might receive any important information regarding them, unless I (we) notify you in writing not to do so. I am a U.S. person (including a U.S. resident alien). I (WE) ACKNOWLEDGE THAT, IN ACCORDANCE WITH PARAGRAPH 23 OF THE RIDGE CLEARING & OUTSOURCING SOLUTIONS, INC. ACCOUNT AGREEMENT, I (WE) AGREE IN ADVANCE TO ARBITRATE ANY CONTROVERSIES WHICH MAY ARISE WITH EACH OR BOTH OF YOU.

By clicking I AGREE below, you confirm you have reviewed and agree to the [Account Agreement](#).

I AGREE I DISAGREE

Previous Next

Terms and Conditions

Please choose one:

I Agree, you have reviewed and agree to the account agreement

I Disagree, you have not reviewed or do not agree to the account agreement

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

This is your last opportunity to make changes. Please review all information carefully. If any information is not correct, you can click the Edit button. Click NEXT only after you are sure that all information is correct.

Name & Address	Edit	Financial Information	Edit
Mr. QIANG LI		Primary Source of Income:	Employer
600 HUAI HAI XI ROAD		Annual Income:	0-24,999
APT. 205A		Liquid Net Worth	0-24,999
SHANGHAI		Total Net Worth	0-24,999
200000		Bank Reference:	Edit
China		Name:	BANK OF CHINA
Social Security # (enter number without dashes or spaces):		Type:	
Date of Birth (MM/DD/YYYY):	1/1/60	Branch:	
Employment Status:	Employed	Account Number:	
Citizenship Status	Non-Resident Alien	Affiliation Information	Edit
If a Non-Resident, please select your citizenship from this list:	China	Are you or a member of your household a director, 10% shareholder or officer of a publicly traded company? No	
Home Phone		Are you or a member of your household affiliated with or employed by a member of a stock exchange or the NASD?	
Business Phone	862128086028	No. My employer is not a registered broker / dealer.	
E-Mail Address	qiangli@buckmanbuckman.com	Have you granted Trading Authorization to another party? No	
Employer's Information	Edit	Do you object Rule 14b-1(c) account info disclosure?	
Employer's Name:	FUDAN UNIVERSITY	YES, I do object to the disclosure of such information.	
Years at Employer:	10	Type of Account	Edit
Nature of Business:	COLLEGE	Cash Account	
Profession:	Educator	Investment Profile	Edit
220 HAN DAN ROAD		Investment Objective	Capital Preservation
SHANGHAI			Growth
200433			
China			
Service Instructions	Edit		
Dividend Reinvestment	Do not reinvest any dividends.		
Dividends	Hold dividends		
When securities are sold	Hold proceeds		
Sweep	Cash		

Please review. If all is correct, click next.

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

Online Application Print Agreement - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address <https://trading.fast-trade.com/buckman/printagreement.do>

Windows Live whole foods market, NY 10025 最近更新 个人资料 邮件 照片 日历 MSN 共享 登录

BB&R
BUCKMAN, BUCKMAN & REID, INC.

Progress Bar

Submit Your Information Accept Agreements Review Information View/Print Forms Setup a Login ID Completed!

Individual Account Application

If you wish to start over, please click here: [Start Over](#)

* Indicates a required field

*In order to complete the account opening process, a signed **Online Service Agreement** needs to be mailed to us. Would you like to print this form now?

**PLEASE TURN OFF YOUR POP UP BLOCKER.
AFTER PRINTING THE FORMS, PLEASE MAKE SURE AND RETURN TO THIS
SCREEN TO COMPLETE YOUR APPLICATION.**

Yes, I would like to print these forms now.

No, Please mail these forms to me.

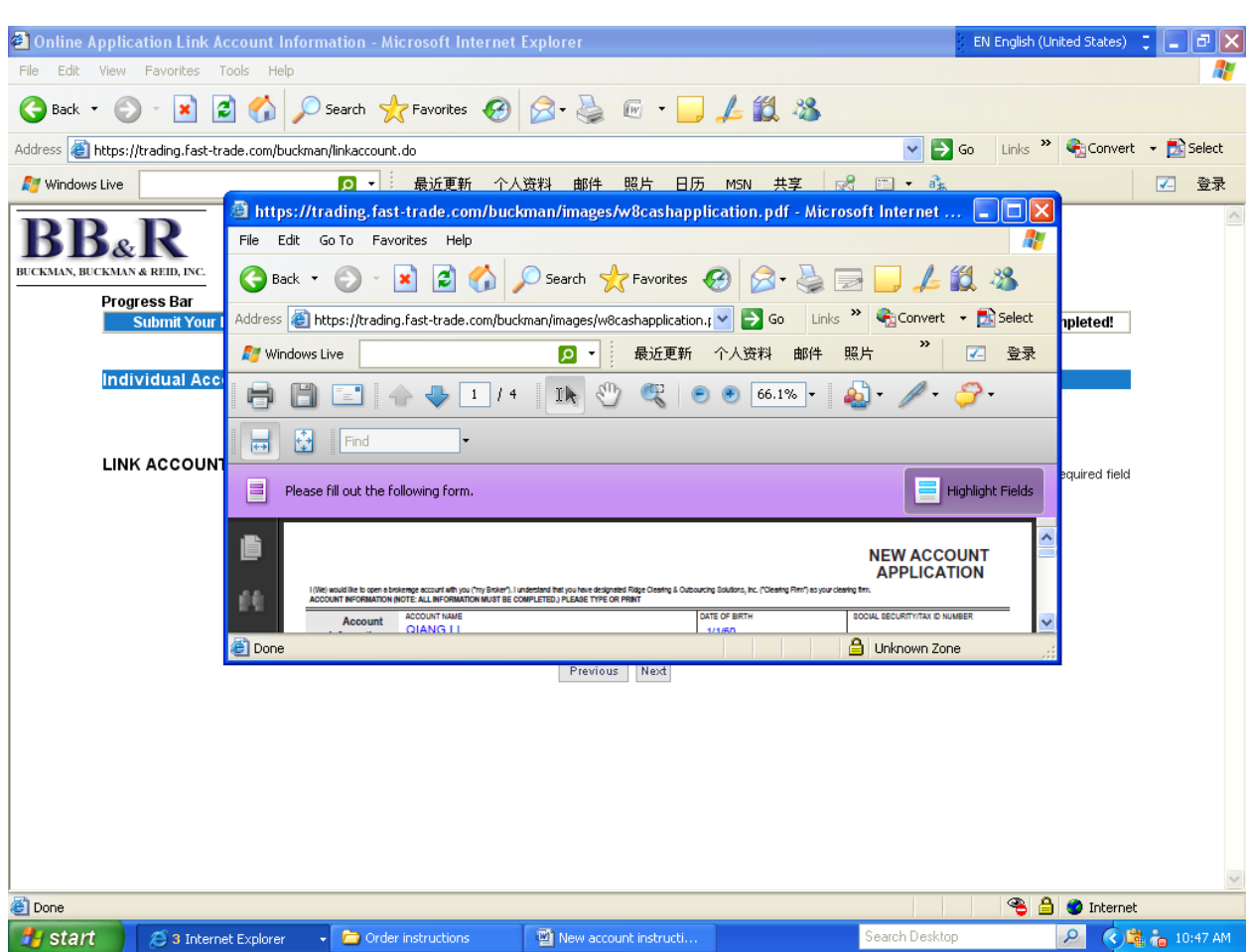
[Previous](#) [Next](#)

Done Internet

start 6 Internet Explorer email flyer 4 Microsoft Office ... Search Desktop 2:19 PM

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com



Please turn off your pop up blocker before you click "Yes". And the New Account Application, Form W-8BEN and E-docs Enrollment will pop up together as the example above. You can turn off your pop up blocker manually or simply hold the control key down when you click yes and continue to hold it down until the pop-up window opens.

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

Online Application Login Information - Microsoft Internet Explorer

Address: <https://trading.fast-trade.com/buckman/logininfo.do>

Progress Bar

Submit Your Information | Accept Agreements | Review Information | View/Print Forms | Setup a Login ID | **Completed!**

Individual Account Application

If you wish to start over, please click here:

LOGIN * Indicates a required field

User IDs are not case-sensitive. Passwords

- are case sensitive (you must type capital letters as capitals and lowercase letters as lowercase).
- must be 4 to 16 characters in length.
- must contain at least one number and one letter.
- cannot contain spaces.
- cannot be any of the last 7 passwords used in this system.
- cannot be same as the User ID.

*User ID: (1 to 16 characters)

*Password: (4 to 16 alphanumeric characters)

*Password Hint Questions:

*Re-enter Password:

*Password Hint Answer:

To trade and view your accounts on-line, you must create a User ID and Password.

Be sure to follow the Rules for creating a valid User ID and Password.

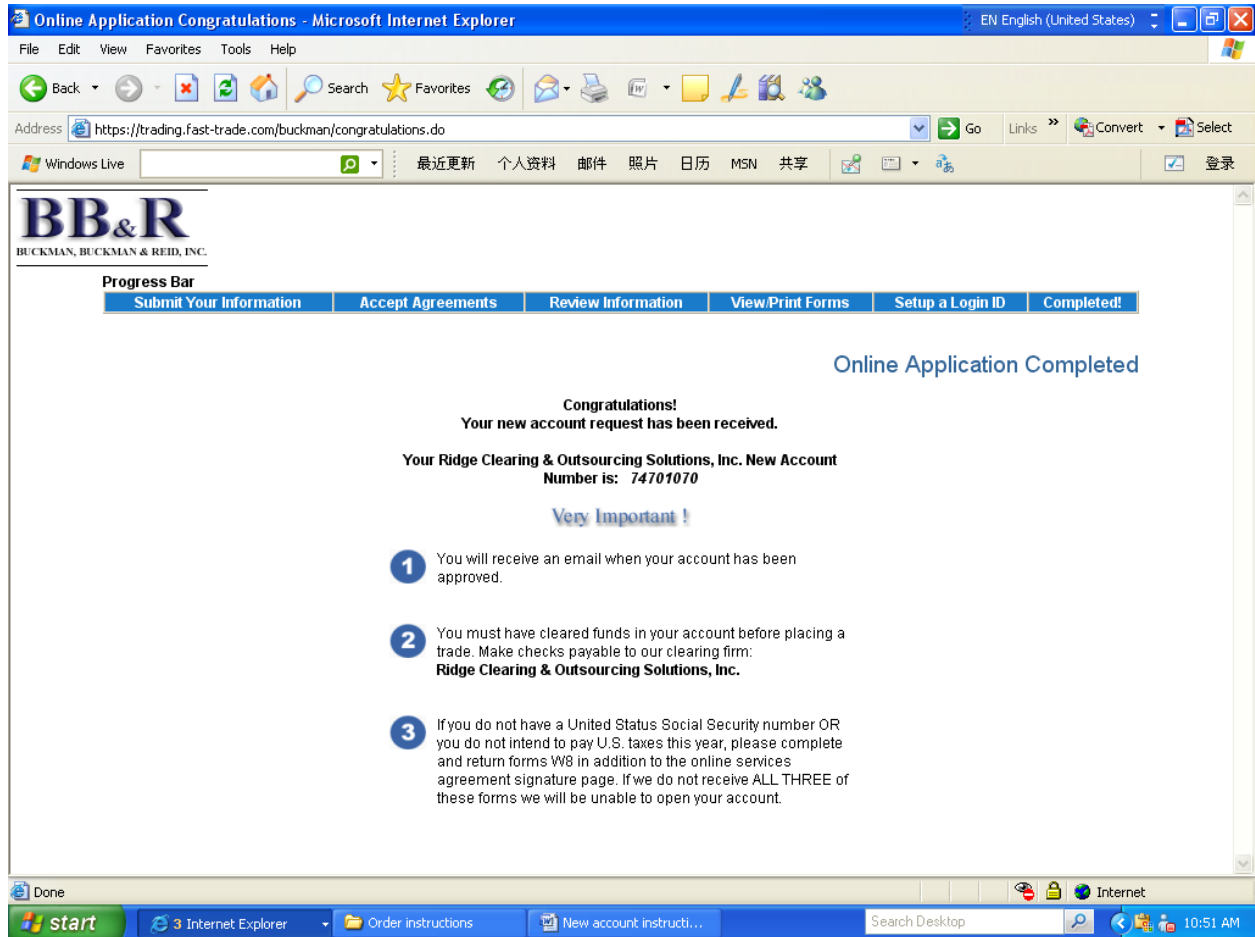
- 1.) User ID: for example-- qianli2008
- 2.) Password: for example---0809liqiang
- 3.) Re-enter password
- 4.) Password Hint questions: please select on from the following questions
 - a.) Mother Maiden name:
 - b.) My pet's name
 - c.) Favorite Color
 - d.) Which city you were born?

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

e.) Lucky Number

5.) Password Hint Answer: please give your answer to the password hint question



- Congratulations! You have successfully submitted your new account request.
- Make sure you sign the new account application, a W-8BEN form for all account holders, and the e-docs enrollment form and mail them back to:

Buckman, Buckman & Reid, Inc.
174 Patterson Ave
Shrewsbury, NJ 07702

- Before you can begin trading you must fund your account. to wire funds give the following wire instructions to your bank:

New Account Instructions Book

Follow the instructions below and complete the application step by step as illustrated. If you are unsure how to impute your information or what a specific field asks call Miss Ming Zhang at 001-732-559-0138 or e-mail her at help@buckmanbuckman.com

Bank of New York
1 Wall Street
New York, NY 10286
ABA #021000018
Swift Code - IRVTUS3N
For the benefit of Ridge Clearing & Outsourcing Solutions
Account: # 8661169975
For further credit to Client Name
Client Account number