

## **Electronic Document Delivery Enrollment Form**

电子文件传递注册表（协议）

**Buckman, Buckman & Reid is required to send you trade confirmations, monthly account statements, 1099 statements, and proxies/prospectuses, and other account documents in writing. By selecting to enroll in electronic document delivery via e-mail notification, you acknowledge and agree that Buckman, Buckman & Reid will no longer provide you with a paper copy of these documents.**

巴克曼，巴克曼&里德需要向您发送交易确认函，每月账户报表，1099 报表以及证券交易委托书/招股说明书，和其他与您账户有关的书面文件。如果您选择注册通过电子邮件获得电子文件传输，您承认和同意，巴克曼，巴克曼&里德将不再为您提供这些文件的书面副本。

**Buckman, Buckman & Reid**, through its clearing firm, Ridge Clearing & Outsourcing Services, Inc. (Ridge Clearing), shall send notification regarding these documents to your e-mail address as it appears on the account. By sending this notification by e-mail, Ridge Clearing will fulfill its regulatory obligation to provide written notification of these documents. The transmission of such communications by e-mail will be considered personal delivery, whether or not you actually receive it. You understand that all documents will only be transmitted online until you deliver written revocation of this agreement to **Buckman, Buckman & Reid**. Upon receipt, **Buckman, Buckman & Reid** will cancel your instructions to deliver via e-mail and will forward paper copies of the documents by regular mail to your address of record.

巴克曼，巴克曼&里德通过 Ridge 清算外包服务公司（Ridge 结算），会以发送至您账户所显示的电子邮箱地址之方式，将关于这些文件的通知传送给您。Ridge 结算通过发送这些电子邮件形式的通知，即履行了自己提供这些文件书面通知的监管义务。不论您是否真正收了这些通知，传送这类电子邮件将被视为文件已送达当事人。您应了解所有的文件将只能在网上传送，直到您向巴克曼，巴克曼&里德提供书面撤销本协定的通知。一旦收到撤销通知，巴克曼，巴克曼&里德将取消您关于同意我们提供电子邮件通知的指示，并且会将文件以普通邮件得形式寄送至您的登记地址。

Trade confirmations for the execution of orders will be considered conclusive if you do not submit a written objection within two days after Ridge Clearing has sent such confirmation by e-mail. Monthly account statements will be considered conclusive if you do not submit a written objection within 10 days after Ridge Clearing has sent such statement by e-mail. You understand that **Buckman, Buckman & Reid** and Ridge Clearing are not responsible for errors and omissions, including the accuracy of the price reported by means of an electronic trade confirmation if the order was executed at another price. **Buckman, Buckman & Reid** and Ridge Clearing agree to report the correct trade information as soon as feasible.

如果您未在 Ridge 结算发送电子交易确认文件之后的两天内提出书面异议，执行订单的交易确认将被视为最终性的。如果你未在 Ridge 结算发送电子报表之后 10 天内提出书面异议，帐户月度报表也将被视为最终性的。您应了解巴克曼，巴克曼&里德和 Ridge 结算不负责传输文件中的错误和遗漏，包括电子交易确认文件中价格的准确性，例如实际交易价格与确认文件中报告的价格不一致。巴克曼，巴克曼&里德和 Ridge 结算将尽快向您提供正确的交易信息报告。

You may request replacement or duplicate paper trade confirmations, monthly account statements, 1099 statements, or proxies/prospectuses, or other account documents that are permitted to be delivered electronically to be delivered by regular mail and you agree to pay any fees incidental to such request.

By signing below, you agree to the terms of the above agreement and agree to accept these document notifications by e-mail, view and access these documents online in your **Buckman, Buckman & Reid E-Docs** account, provide **Buckman, Buckman & Reid** with a current e-mail address, and attest that such information is true and correct.

您可以要求以普通邮件的形式寄送相关文件或其复制件，这些文件包括交易确认文件，每月账户报表，1099 报表，证券交易委托书/招股说明书，或其他与您账户有关的并可以被电子传送的文件，但前提是您同意支付由此产生的任何费用。通过在此文件末尾处签名，您同意遵守上述协议，并同意接受这些文件的电子邮件通知，在线查看和访问您在巴克曼，巴克曼&里德帐户中的这些文件，向巴克曼，巴克曼&里德提供一个目前使用的电子邮箱地址，并保证这些资料的真实和正确性。

If your email address proves to be invalid or such transmissions are returned to us as undeliverable, your enrollment in the program will be cancelled and you will receive paper copies of these documents until you re-enroll in the program at <http://trading.fast-trade.com/buckman>.

如果您的电子邮箱地址被证明是无效的，或者如果我们的电子邮件传递因为无法送达以至被退回，您注册电子传递计划将被取消，同时如果您重新在 <http://trading.fast-trade.com/buckma> 注册成功之前您将接收到实物形式的各种相关文件。

**Yes. I agree to the Terms & Conditions listed above. 是的，我同意上述条款。**

X \_\_\_\_\_

Signature    Date

签名            日期